

REPORT REFERENCE NO.	APRC/17/7
MEETING	AUDIT & PERFORMANCE REVIEW COMMITTEE
DATE OF MEETING	24 APRIL 2017
SUBJECT OF REPORT	2017-18 INTERNAL AUDIT PLAN
LEAD OFFICER	AREA MANAGER – ORGANISATIONAL ASSURANCE
RECOMMENDATIONS	<i>That the 2017-18 Internal Audit Plan as appended to this report be approved.</i>
EXECUTIVE SUMMARY	<p>Attached for consideration and discussion is the proposed 2017-18 Internal Audit Plan.</p> <p>It is proposed in 2017/18 that there are limited topics assigned for internal audits. This is due to the current vacancy for an Internal Audit & Review Manager. The internal audit plan is to be enhanced in 2017/18 for the first time with independent Information Technology audits and the findings will be reported to the Committee quarterly.</p> <p>Additionally, the Devon Audit Partnership (DAP) is interested in how an independent opinion on auditing will be delivered throughout the year. The Service is addressing this with an ICT audit and health checks being included in the 2017/18 proposal and all recommendations will be added to the assurance tracker and monitored via the Service Leadership Team (SLT) risk report on a monthly basis.</p>
RESOURCE IMPLICATIONS	The internal audit plan will be delivered within existing resources.
EQUALITY RISKS AND BENEFITS ASSESSMENT (ERBA)	The contents of this report are considered compatible with existing human rights and equalities legislation.
APPENDICES	A. 2017-18 Internal Audit Plan
LIST OF BACKGROUND PAPERS	<p>A. Audit & Review Service Policy</p> <p>B. Public Sector Internal Audit Standards (PSIAS)</p>

APPENDIX A TO REPORT APRC/17/7

2017-18 Audit Plan	
Audit & Review Days including ICT assurance	293
Devon Audit Partnership (DAP) days	32
Evolve - IT Health Check	5
Data Protection / GDPR	15
Total Days (Audit & Review and DAP Sub Total)	345
Strategic Reviews	
Annual Statement of Assurance	5
EFQM - Excellence Model	20
Peer Review	20
RMADS / Code of Connection	20
NFSP IT	15
ISO 27001 alignment	20
Total	100
Compliance Reviews	
Key Financial Systems (DAP)	32
ESN compliance	10
Data Protection / GDPR	15
Total	57
Audit Health Checks	
Control Self-Assessment Framework	90
Risk based audits - TBC	83
IT Health Check (Evolve)	15
Total	188